

In the United States Patent and Trademark Office

| | | | |
|---------------|---------------------------------|-------------|---------------------|
| Serial No.: | 10/801,238 | Docket No.: | 17209-354 |
| Filed: | 03-15-2004 | Conf.No.: | 2021 Art Unit: 3684 |
| Applicant(s): | David Lawrence | Examiner: | Rankins, William E |
| For: | Public offering risk management | | |

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT/RESPONSE ACCOMPANYING RCE, 37 CFR §1.114

Sir:

Further to the Board of Patent Appeals and Interferences Decision dated September 15, 2010 (hereinafter "Board Decision"), the Examiner's Answer to Appeal Brief dated December 15, 2008, the Advisory Action dated July 1, 2008, the Final Office Action dated March 24, 2008 ("Office Action"), in view of the concurrently filed request for Request Continued Examination ("RCE"), and in view of the following amendments and/or remarks, David Lawrence and/or Attorney(s) for applicant(s) (hereinafter "Applicant") request entry thereof and reconsideration of the above-referenced application.

Amendments to the Claims are reflected in the listing of claims begin on page 2.

Remarks begin on page 6 of this paper.

Double Patenting remarks begin on page 7 of this paper.

35 USC § 103 remarks begin on page 7 of this paper.